





ARISTOTLE UNIVERSITY OF THESSALONIKI

UNESCO CHAIR

on Education for Human Rights, Democracy and Peace



BUILDING NEW FENCES? SECURITY CONCERNS AND PROTECTION OF ALIENS AT THE EUROPEAN BORDERS



Athens, 30th March 2010

Master Thesis by Lorena Isla Supervised by Professor Dr. Georgios Nouskalis Academic Year 2009/2010 Statement against plagiarism

Abstract

This research paper aims to examine the compatibility of border controls with the human rights standards that the EU and its Member States are bound to. For that purpose, expulsion has been chosen as the comparative element, since the non refoulement principle is one of the most developed constrains to the faculty of the State to expel non citizens. The human rights mechanisms available for the protection against expulsion will be examined and compared with the most representative EU regulations regarding forced removal of aliens. The analysis on the compliance of the EU policy with human rights standards is illustrated with a case study about Spain, which is one of the "guardians" of the Southern borders. The protection of citizens against security threats is the most common justification for the downgrading of the safeguards available for third country nationals aiming to reach Europe. The question is whether a balance between the interests at stake can be stroke, and to which extent the apparent clash between citizens and non citizens' rights is illusory. In fact, the non refoulement principle has been reinforced in Europe thanks to the case law of the ECtHR. This development challenges the idea that aliens can be deprived of protection on account of an artificial equilibrium between their rights and the well being of the host community.

Acknowledgments

I would like to express my deeper gratitude to

- The UNESCO Chair at the Aristotle University of Thessaloniki, particularly Professor Emer. Dimitra Papadopoulou, Nikos and Haris who always offered their support and guidance.
- My supervisor, Professor Dr. Georgios Nouskalis, for his patience, his inspiring advises, and for encouraging me to improve and develop my thoughts.
- My tutor, Eugenie Georgaca for her regular assistance and care with the difficulties I faced in the process of writing.
- Professor Dr. Fabrizio Marrella, Dr. Karine Caunes, Mr. Knut Traisbach, for their initial counsel and encouragement during the first semester of the European Master's Degree in Human Rights and Democratisation.
- My family and friends, because this thesis could have never been possible without them.

List of abbreviations

AI Amnesty International

CAT Convention against Torture and Other Cruel, Inhuman or Degrading

Treatment or Punishment

CERD Convention on the Elimination of All Forms of Racial Discrimination

CoE Council of Europe

CPT European Committee for the Prevention of Torture

CRC Convention on the Rights of the Child

EC European Community

ECHR European Convention for the Protection of Human Rights and Fundamental

Freedoms

ECJ European Court of Justice

ECRE European Council on Refugees and Exiles

ECtHR European Court of Human Rights

EU European Union

HRC Human Rights Committee
HRW Human Rights Watch

ICCPR International Covenant on Civil and Political Rights

ICJ International Court of Justice

ICRMW International Convention on the Protection of the Rights of All Migrant

Workers and Members of their Families

JHA Justice and Home Affairs

MEPs Members of the European Parliament OAS Organization of American States

OECD Organisation for Economic Cooperation and Development

QMV Qualified majority voting
SIS Schengen Information System
SIVE Spanish system of external vigilance
TEU Treaty of the European Union

TEC Treaty Establishing the European Community
UDHR Universal Declaration of Human Rights
UNDP United Nations Development Programme

UNHCR United Nations High Commissioner for Refugees

Table of contents

Introduction	pp. 8-13
1 Human Rights obligations and safeguards against expulsion	pp.14- 43
1.1 International human rights standards: an overview on the freedom of movemen	ıtpp. 15-20
1.1.1 The right to leave and return under international Human Rights law	pp. 16-17
1.1.2The foundations of the freedom of movement and the controv	ersial right to
relocate	pp. 18-20
1.2. The protection of the non refoulement principle at the international level	pp. 21-31
1.2.1 Non refoulement under the Refugee Convention	pp. 22-24
1.2.2 The Human Rights mechanisms against refoulement	pp. 24-26
1.2.3 The contentious <i>ius cogens</i> nature of non refoulement	pp. 26-31
1.3 The protection against refoulement at the regional level: the European Conven	ıtion on Human
Rights: article 3.	pp. 31-42
1.3.1 Territorial scope of the ECHR and its extraterritorial applica	tion regarding
refoulement	p. 32
1.3.2 The absolute character of the prohibition of torture under article 3	pp. 33, 34
1.3.3. – Situations which qualify for protection under article 3, and the develop	ing concepts of
torture, inhuman and degrading treatment or punishment	pp. 34-36
1.3.4 The substantive and evidential requirements	pp. 36, 37
1.3.5 A landmark case: Saadi vs Italy 2008	pp. 37-39
1.3.6Limits to non refoulement on account of other rights established	olished in the
Convention	pp.39, 40
1.3.7 The advantages of disadvantages of the system established under ECHI	
2 The management of migration flows: expulsion within the Eur	opean Union
framework	
2.1 The regulation of migration within the EU: from States discretion towa	
policy	
2.1.1. – Migration policy prior to the Treaty of Amsterdam: into	
cooperation	pp. 46. 47

2.1.2. – Migration policy after the Treaty of Amsterdam: the inclusion under the fin
pillarpp.47, 4
2.1.3 The Schengen area: the elimination of internal borders and its impact on bord
controls
2.2. The regulation of expulsion under EU lawpp. 50-6
2.2.1 The enforcement of expulsion measures and its human rights componentpp. 51, 5
2.2.2 - The grounds for expulsion and its link with security concernspp. 53-6
2.2.2.1 The "security concepts" as grounds for expulsion under Directive
2004/38/ECpp.53-5
2.2.2.2 The "Returns Directive" 2008/115/EC of 16 December 2008 on commo
standards and procedures in Member States, for returning illegally staying thir
country nationalspp. 56-6
2.3. – The EU human rights obligations: the regulation of expulsion vis-à-vis article 3 of the
ECHRpp. 60-6
3 A case study: Spain
3.1 Immigration patterns in Spain in the last 30 years: from a country of emigration to a country
of immigrationpp.66-6
3.2. – The regulation of immigration and asylum at the national level and its convergence with
European policiespp.69-7
3.2.1-The regulation and implementation of expulsion measurespp.71-7
3.2.2 The deficiencies of the asylum systempp.74, 7
4.3. – Border controls and compliance with human rights obligations at the regional and
international levelpp. 76-8
4.4 Ceuta and Melilla: human rights at stake in the gateway from Africa
Conclusions