

LUND UNIVERSITY

European Master's Degree in Human Rights and Democratisation
A.Y. 2015-2016

The Umbrella Agreement on Personal Data Transfers for Law Enforcement: A Transatlantic Trojan Horse?

Judicial redress for privacy and data protection violations relating
to transatlantic data transfers for law enforcement purposes

Author: Lien Wyckmans

Supervisor: Karol Nowak

Abstract

Terrorism has been a major concern for nations across the world. Since 9/11, the international cooperation in the fight against terrorism has only intensified. The large-scale use of surveillance technologies has had a significant impact on the enjoyment of the right to privacy. The newest addition to counter-terrorism initiatives is the Umbrella agreement between the United States and the European Union on the exchange of personal data for law enforcement purposes. This agreement will be the framework to facilitate transatlantic data transfers and to ensure respect for common data protection standards, including judicial redress. The present thesis focuses on the effectiveness of judicial redress possibilities for violations relating to transatlantic data transfers for law enforcement purposes. The main body of this thesis is divided into four chapters. The first chapter sets out the context of counter-terrorism to understand the implications for privacy. Chapter 2 offers an overview of how European and American legislators have dealt with the balancing exercise between freedom and security. In the third chapter, the judicial enforcement of privacy is analysed in order to evaluate its practical value. Finally, Chapter 4 concludes with suggestions to improve the judicial redress mechanism to allow for effective protection of privacy.

Table of contents

INTRODUCTION	1
TERRORIST ATTACKS.....	1
THESIS RESEARCH	3
Research question	3
Research methodology	4
Method	4
<i>Sources used in this thesis</i>	7
<i>Theory underlying this thesis</i>	9
Research delimitations	10
THESIS OUTLINE.....	12
CHAPTER 1 THREATENED SOCIETIES	14
1.1 THREAT OF TERROR	14
1.2 THREAT TO PRIVACY	18
1.2.1 Concept of privacy	18
1.2.2 Impact of counter-terrorism on privacy.....	22
1.2.3 Impact of counter-terrorism on human rights related to privacy.....	25
1.2.3.1 <i>Freedom of speech</i>	25
1.2.3.2 <i>Effective judicial protection</i>	27
1.3 TRANSATLANTIC ANSWER TO THE THREAT OF TERROR AND THREAT TO PRIVACY....	29
1.4 SUMMARY REMARKS.....	33
CHAPTER 2 PROTECTING PRIVACY WHEN COUNTERING TERRORISM	34
2.1 EUROPEAN APPROACH	34
2.1.1 Human rights protection	34
2.1.2 Increased privacy limitations in favour of counter-terrorism.....	37
2.1.2.1 <i>Data Retention Directive</i>	38
2.1.2.2 <i>Council Framework Decision</i>	40
2.1.3 Increasing protection of privacy?	42
2.2 AMERICAN APPROACH	45
2.2.1 Constitutional protection.....	45
2.2.2 Increased privacy limitations in favour of counter-terrorism.....	48
2.2.2.1 <i>Patriot Act</i>	48
2.2.2.2 <i>Foreign Intelligence Surveillance Act</i>	50
2.2.3 Increasing protection of privacy?	52
2.3 SUMMARY REMARKS.....	53

CHAPTER 3 JUDICIAL ENFORCEMENT OF PRIVACY WHEN COUNTERING TERRORISM	55
3.1 IN THE EUROPEAN UNION	55
3.1.1 Theory: design of the judicial redress mechanism	55
3.1.2 Reality: the Schrems judgment on Safe Harbour.....	58
3.2 IN THE UNITED STATES	62
3.2.1 Theory: design of the judicial redress mechanism	63
3.2.2 American reality: the Privacy Act	65
3.2.3 European reality: the Judicial Redress Act	68
3.3 SUMMARY REMARKS.....	70
CHAPTER 4 TRANSATLANTIC TROJAN HORSE.....	73
4.1 SLIPPERY SLOPE	74
4.2 NEED FOR STRONGER PRIVACY LEGISLATION WHEN COUNTERING TERRORISM.....	75
4.2.1 Powerless protection of privacy	76
4.2.2 Suggestions for improving the protection of privacy	77
4.3 NEED FOR EFFICIENT ENFORCEMENT OF PRIVACY WHEN COUNTERING TERRORISM	78
4.3.1 Powerless enforcement of privacy	78
4.3.2 Suggestions for improving the enforcement of privacy	81
4.4 CONCLUSION: TRANSATLANTIC TROJAN TREATY	82
BIBLIOGRAPHY	84